

## Agenda

### Audit & Control Committee

**July 19, 2018, 8:35 a.m., Room 331**

Gerace Office Building, Mayville, NY

- A. Call to Order
- B. Approval of Minutes (6/21/18)
- C. Privilege of the Floor
  - 1. Presentation- Drescher & Malecki 2017 County Audit
  - 2. Proposed Resolution- Reallocating Salary Grades for Caseworker, Caseworker (CPS), Senior Caseworker, Senior Caseworker (CPS), Case Supervisor B, Case Supervisor B (CPS), and Case Supervisor A
  - 3. Proposed Resolution- Transfer of Foreclosed Properties to Chautauqua County Land Bank Corporation
  - 4. Proposed Resolution- Quit Claim Deeds
  - 5. Proposed Resolution- Budget Modification for Actual Youth Bureau Allocations Received
  - 6. Proposed Resolution- To Accept New York State Department of Health Nurse Family Partnership Program Grant
  - 7. Proposed Resolution- Reallocate a Portion of 2018 3% Occupancy Tax Funds and Authorize Use of 3% Occupancy Tax Reserve for Dunkirk Offshore Powerboat Racing Event
  - 8. Proposed Resolution- Authorize Grant Application to New York State Department of State (NYSDOS) Division of Planning Waterfront Revitalization Program to Fund Lake Erie Waterfront Development and Investment Prospectus
  - 9. Proposed Resolution- Environmental Assessment of Projects for 2019 2% Occupancy Tax Projects
  - 10. Proposed Resolution- Implementing Resolution 194-17 - Commitment of Matching Funds For New York State Department of Environmental Conservation/New York State Environmental Facilities Corporation Wastewater Infrastructure Engineering Planning Grant Program for the Mayville – Chautauqua Stormwater Management Engineering Study

11. Proposed Resolution- Commitment of Matching Funds for Grant Applications to the 2018 New York State Consolidated Funding Application Program
12. Proposed Resolution- Investment Policy for the County of Chautauqua
13. Proposed Resolution- Financial Management Policy for the County of Chautauqua
14. Discussion- 2019 District Attorney Budget, Patrick Swanson
15. Other-

**CHAUTAUQUA COUNTY  
RESOLUTION NO. \_\_\_\_\_**

CLS 06/20/18
ABC 06/20/18
JLW 06/22/18
SMA 06/22/18
KMD 6/22/18
KLC 6/25/18
GMB 6/26/18

**TITLE:** Reallocating Salary Grades for Caseworker, Caseworker (CPS), Senior Caseworker, Senior Caseworker (CPS), Case Supervisor B, Case Supervisor B (CPS), and Case Supervisor A

**BY:** Administrative Services, Human Services and Audit & Control Committees:

**AT THE REQUEST OF:** County Executive George M. Borrello:

WHEREAS, the Director of Health and Human Services requested a review of the Caseworker, Caseworker (CPS), Senior Caseworker, Senior Caseworker (CPS), Case Supervisor B, Case Supervisor B (CPS), and Case Supervisor A salary grades; and

WHEREAS, the Human Resources staff reviewed the salary grades and duties currently being performed by such positions and recommended a two (2) grade salary increase in each case; and

WHEREAS, the Reallocation Committee as defined by the CSEA Unit 6300 Collective Bargaining Agreement, comprised of the County Executive, Director of Human Resources and President of CSEA Unit 6300, met and approved the recommended grade changes; and

WHEREAS, currently the title of Caseworker is at salary grade 14, the title of Caseworker (CPS) is at salary grade 16, the title of Senior Caseworker is at salary grade 17, the title of Senior Caseworker (CPS) is at salary grade 19, the title of Case Supervisor B is at salary grade 19, the title of Case Supervisor B (CPS) is at salary grade 21, and the title of Case Supervisor A is currently at salary grade 23; therefore be it

RESOLVED, That the title of Caseworker be set at salary grade 16, the title of Caseworker (CPS) be set at salary grade 18, the title of Senior Caseworker be set at salary grade 19, the title of Senior Caseworker (CPS) be set at salary grade 21, the title of Case Supervisor B be set at salary grade 21, the title of Case Supervisor B (CPS) be at salary grade 23, and the title of Case Supervisor A be set at salary grade 25 of the CSEA Unit 6300 salary schedule.

**2018 CSEA Unit 6300 Salary Schedule**

Caseworker	Grade 16	(\$20.82 - \$26.72 per hour) (\$37,892.40 - \$48,630.40 per year)
Caseworker (CPS)	Grade 18	(\$22.36 - \$28.73 per hour) (\$40,695.20 - \$52,588.60 per year)
Senior Caseworker	Grade 19	(\$23.26 - \$29.84 per hour) (\$42,333.20 - \$54,308.80 per year)
Senior Caseworker (CPS)	Grade 21	(\$25.03 - \$32.15 per hour) (\$45,554.60 - \$58,513.00 per year)
Case Supervisor B	Grade 21	(\$25.03 - \$32.15 per hour) (\$45,554.60 - \$58,513.00 per year)
Case Supervisor B (CPS)	Grade 23	(\$26.94 - \$34.74 per hour) (\$49,030.80 - \$63,226.80 per year)
Case Supervisor A	Grade 25	(\$29.10 - \$37.54 per hour) (\$52,962.00 - \$68,322.80 per year)

\_\_\_\_\_  
**APPROVED**  
**VETOES (VETO MESSAGE ATTACHED)**

\_\_\_\_\_  
**County Executive**

\_\_\_\_\_  
**Date**

**CHAUTAUQUA COUNTY  
RESOLUTION NO. \_\_\_\_\_**

JEC 7/5/18
SMA 7/5/18
KMD 7/6/18
KLC 7/9/18
GMB 7/9/18

**TITLE:** Transfer of Foreclosed Properties to Chautauqua County Land Bank Corporation

**BY:** Administrative Services and Audit & Control Committees:

**AT THE REQUEST OF:** County Executive George M. Borrello:

WHEREAS, pursuant to Resolution 65-12, Chautauqua County created one of the first five (5) authorized land bank corporations in New York State that was incorporated as the Chautauqua County Land Bank Corporation (“CCLBC”); and

WHEREAS, the mission of CCLBC is to “control and manage strategically selected dilapidated and abandoned residential and commercial properties acquired through the County tax foreclosure process, bank foreclosures and/or donations, and facilitate solutions aimed at stabilizing neighborhoods, encouraging private investment, and improving the quality of life throughout Chautauqua County;” and

WHEREAS, the CCLBC has secured \$4.42 million to date in grant funding through the NYS Office of Attorney General, primarily designated for demolition activities, side lot disposition, and the purchase of bank foreclosed properties; and

WHEREAS, to date CCLBC has successfully returned 62 vacant lots and 74 residential structures to productive use, has leveraged approximately \$2,590,000 in private investment related to renovation activities, and has worked with the municipalities to demolish more than 75 residential and mixed-use properties county-wide at a cost to the Land Bank of nearly \$1,500,000; and

WHEREAS, the County Legislature has transferred distressed tax foreclosure properties to CCLBC at no cost, but CCLBC expends between \$2,000 and \$6,000 for each acquired property as it pays the first year’s taxes, cleans the interior and exterior of the properties, secures the properties, has them appraised, and lists them through the Multiple Listing Service (MLS) so that they can be made available to the public for negotiated sale; and

WHEREAS, CCLBC has all properties appraised as-is and lists them for sale at 60% of the appraised value in order to incentivize and maximize investment by the purchasers for the require renovation work; and

WHEREAS, based on historical sales, CCLBC has sold properties for approximately \$10,000 per property on average, and any “profits” realized by CCLBC are being reinvested directly back into improving the County’s housing stock through a range of activities including renovation, side lot disposition, and demolition projects; and

WHEREAS, CCLBC aspires to become self-sustaining as it builds its capacity over time; therefore be it

RESOLVED, That the County Executive is authorized and empowered to execute all necessary documents to transfer the following tax foreclosure properties containing distressed or at risk structures to the Chautauqua County Land Bank Corporation at no cost, and upon such other terms and conditions negotiated by the County Executive:

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**APPROVED**

\_\_\_\_\_  
**VETOES (VETO MESSAGE ATTACHED)**

\_\_\_\_\_  
**County Executive**

\_\_\_\_\_  
**Date**

Auction Number	City/Town	Village	Parcel Identification	Property Location	Property Type
PA-35-2018	City/Dunkirk		060300-79.16-7-36	209 Hoyt St	Two Family Residence
PA-42-2018	City/Dunkirk		060300-79.19-3-2	111 Lincoln Ave	Two Family Residence
PA-142-2018	Jamestown		060800-387.19-9-19	68 Linwood Ave	Two Family Residence
PA-281-2018	Pomfret	Fredonia	065801-130.06-2-15.1	67 Seymour St	Two Family Residence
PA-62-2018	Jamestown		060800-370.18-4-28	W 11 Th St	Two Family Residence
PA-151-2018	Jamestown		060800-387.33-2-36	405 E 5Th St	Social Organization
PA-2-2018	City/Dunkirk		060300-79.07-1-80	68 Armadillo St	Single Family Residence
PA-3-2018	City/Dunkirk		060300-79.11-3-18	19 N Ermine St	Single Family Residence
PA-57-2018	Jamestown		060800-370.16-4-1	84 Hotchkiss St	Single Family Residence
PA-79-2018	Jamestown		060800-387.05-6-27	24 Meadow Ln	Single Family Residence
PA-80-2018	Jamestown		060800-387.05-6-5	83 Livingston Ave	Single Family Residence
PA-136-2018	Jamestown		060800-387.17-5-50	476 Baker St	Single Family Residence
PA-210-2018	Chautauqua	Mayville	062801-262.11-3-2	74 Valley St	Single Family Residence
PA-260-2018	Hanover	Silver Creek	064603-49.06-2-33	44 Babcock Ave	Single Family Residence
PA-347-2018	Westfield	Westfield	067201-210.05-2-41	127 Union St	Single Family Residence
PA-111-2018	Jamestown		060800-387.13-10-8	1406 Newland Ave	Single Family Residence
PA-24-2017	City/Dunkirk		060300-79.19-2-22	108 Maple Ave	Residential Vacant Land
PA-112-2018	Jamestown		060800-387.13-10-9	Newland Ave	Residential Vac Land
PA-211-2018	Chautauqua	Mayville	062801-262.11-3-3	Valley St	Residential Vac Land
PA-63-2018	Jamestown		060800-370.18-4-29	W 11 Th St	Residential Vac Land
PA-99-2018	Jamestown		060800-387.09-2-28	Hall Ave	Residential Vac Land
PA-242-2018	Ellicott		063889-386.10-2-28	S Alleghany Ave	Residential Vac Land
PA-48-2018	City/Dunkirk		060300-79.19-8-67	727 Washington Ave	Apartment

**APPROVED**  
**VETOES (VETO MESSAGE ATTACHED)**

\_\_\_\_\_  
**County Executive**

\_\_\_\_\_  
**Date**

**CHAUTAUQUA COUNTY  
RESOLUTION NO. \_\_\_\_\_**

JEC 7/2/18
SMA 7/5/18
KMD 7/6/18
KLC 7/9/18
GMB 7/9/18

**TITLE:** Quit Claim Deeds

**BY:** Administrative Services and Audit & Control Committees:

**AT THE REQUEST OF:** County Executive George M. Borrello:

WHEREAS, the Administrative Services Committee of the County Legislature has received and hereby recommends acceptance, pursuant to Section 1166 of the Real Property Tax Law, the following offers for the County's Tax Liens as detailed on the attached Schedule 1 under tax sale certificates noted on original papers on file in the office of the Director of Finance; and

WHEREAS, that unless otherwise noted, the County Tax Enforcement Officer has confirmed that the offers received are in compliance with the County's policy regarding tax foreclosure as set forth in Resolution No. 110-17; now therefore be it

RESOLVED, That the Executive and Chairman of this Legislature be hereby authorized to execute Quitclaim Deeds conveying to the offers herein mentioned, the interest of Chautauqua County in said properties under said tax sale certificates; and be it further

RESOLVED, That the Director of Finance of Chautauqua County be hereby authorized to cancel any outstanding taxes, fees, interest and other charges. In adopting this resolution, the Legislature intends to adopt each transaction separately, in the usual form of Resolution, and the failure of any particular transaction to be completed shall in no manner affect the validity of any of the others.

Offer Number	Municipality	S/B/L	Purchaser	Offer Amount	Taxes Owning
PA-4-2018	City of Dunkirk	060300-79.11-3-21	William Cambria	\$ 9,500.00	\$ 8,296.13
PA-5-2018	City of Dunkirk	060300-79.11-5-40	Araceli Ramos Rojas	\$ 175.00	\$ 656.01
PA-6-2018	City of Dunkirk	060300-79.11-5-49	William Soto	\$ 9,000.00	\$ 4,343.00
PA-7-2018	City of Dunkirk	060300-79.11-6-15	Michael B Young	\$ 3,200.00	\$ 3,402.85
PA-7-2015	City of Dunkirk	060300-79.11-6-25	Alberto Rodriguez	\$ 25.00	\$ 5,187.09
PA-10-2018	City of Dunkirk	060300-79.11-7-15	Blake Smith	\$ 900.00	\$ 4,242.93
PA-22-2018	City of Dunkirk	060300-79.14-5-60	William Soto	\$ 9,000.00	\$ 3,697.00
PA-15-2016	City of Dunkirk	060300-79.15-4-54	Steven & Charlotte Geiger	\$ 25.00	\$ 587.36
PA-37-2018	City of Dunkirk	060300-79.17-1-6	Charlene E Wilson	\$ 10.00	\$ 245.77
PA-41-2018	City of Dunkirk	060300-79.19-2-69	William Cambria	\$ 2,000.00	\$ 6,720.49
PA-44-2018	City of Dunkirk	060300-79.19-6-12	Sandra L Morris	\$ 10.00	\$ 306.34
PA-46-2018	City of Dunkirk	060300-79.19-6-23	Uthumporn Noithanom	\$ 595.00	\$ 853.40
PA-47-2018	City of Dunkirk	060300-79.19-7-26	Chautauqua Rentals LLC	\$ 17,500.00	\$ 6,778.53
PA-49-2018	City of Dunkirk	060300-79.20-1-58	Sandra L Morris	\$ 10.00	\$ 366.82
PA-50-2018	City of Dunkirk	060300-79.20-5-30	John Robert Davis Jr	\$ 10.00	\$ 188.98
PA-55-2018	City of Jamestown	060800-369.20-3-49	Carl Mascio	\$ 10.00	\$ 235.62
PA-37-2016	City of Jamestown	060800-370.16-7-9	Alisha Holland	\$ 10.00	\$ 12,536.96
PA-59-2018	City of Jamestown	060800-370.18-3-16	William Soto	\$ 7,500.00	\$ 3,140.00
PA-60-2018	City of Jamestown	060800-370.18-3-84	William Soto	\$ 10,500.00	\$ 5,438.00
PA-64-2018	City of Jamestown	060800-370.18-4-37	Linda Baldwin	\$ 160.00	\$ 183.02
PA-59-2014	City of Jamestown	060800-370.19-3-66	Bradley Mason	\$ 10.00	\$ 4,655.81
PA-58-2017	City of Jamestown	060800-370.20-5-7	Elite Kreations LLC	\$ 200.00	\$ 322.38
PA-74-2018	City of Jamestown	060800-371.09-5-41	Erica A Skinner	\$ 30.00	\$ 313.98

**APPROVED  
VETOES (VETO MESSAGE ATTACHED)**

\_\_\_\_\_  
**County Executive**

\_\_\_\_\_  
**Date**

PA-77-2018	City of Jamestown	060800-371.13-4-19	Raymond Lamont Johnson	\$ 1,300.00	\$ 2,113.11
PA-79-2018	City of Jamestown	060800-387.05-6-27	Joshua Thielges	\$ 30.00	\$ 200.39
PA-80-2018	City of Jamestown	060800-387.05-6-5	Richard Rechin	\$ 10.00	\$ 972.71
PA-82-2018	City of Jamestown	060800-387.06-3-38	Uthumporn Noithanom	\$ 2,600.00	\$ 3,755.62
PA-85-2018	City of Jamestown	060800-387.06-6-12	Uthumporn Noithanom	\$ 9,500.00	\$ 3,916.76
PA-86-2018	City of Jamestown	060800-387.08-1-5	Eric Thomas Rhodes	\$ 10.00	\$ 207.58
PA-90-2018	City of Jamestown	060800-387.08-4-46	Uthumporn Noithanom	\$ 18,000.00	\$ 6,107.40
PA-92-2018	City of Jamestown	060800-387.08-8-4	Jennifer A Lang	\$ 25.00	\$ 450.72
PA-103-2018	City of Jamestown	060800-387.12-1-60.1	Blake Smith	\$ 750.00	\$ 987.24
PA-117-2018	City of Jamestown	060800-387.14-3-12	William Soto	\$ 10,000.00	\$ 4,460.00
PA-122-2018	City of Jamestown	060800-387.15-8-53	Timothy J Gurnari	\$ 12,000.00	\$ 3,835.24
PA-123-2018	City of Jamestown	060800-387.15-8-54	Timothy J Gurnari	\$ 40.00	\$ 464.05
PA-124-2018	City of Jamestown	060800-387.15-9-21	William Soto	\$ 13,000.00	\$ 6,611.00
PA-145-2018	City of Jamestown	060800-387.25-1-22	William Soto	\$ 20,000.00	\$ 4,743.00
PA-125-2016	City of Jamestown	060800-387.25-3-34	Jennifer A Lang	\$ 1,000.00	\$ 3,126.87
PA-150-2018	City of Jamestown	060800-387.33-1-12	Harold W Whitford	\$ 30.00	\$ 326.62
PA-152-2018	City of Jamestown	060800-387.34-2-17	Edward Sherman	\$ 10.00	\$ 374.34
PA-155-2018	City of Jamestown	060800-387.34-3-4	Daisy Jorge	\$ 1.00	\$ 235.62
PA-156-2018	City of Jamestown	060800-387.34-3-5	Daisy Jorge	\$ 9.00	\$ 2,426.37
PA-158-2018	City of Jamestown	060800-387.34-4-26	William Soto	\$ 1,000.00	\$ 2,298.00
PA-182-2014	City of Jamestownq	060800-387.41-3-30	Duncan Oleshak	\$ 50.00	\$ 4,525.59
PA-166-2018	City of Jamestown	060800-388.05-2-35	Peter Smith	\$ 75.00	\$ 440.99
PA-169-2018	City of Jamestown	060800-404.08-1-10	Linda A Troutman	\$ 20.00	\$ 353.08
PA-170-2018	Arkwright	062000-131.00-2-61	Christopher A Callen	\$ 2,000.00	\$ 1,147.85
PA-193-2018	Busti	062289-419.00-1-15	Carl Mascio	\$ 50.00	\$ 668.78
PA-198-2018	Carroll	062400-423.06-2-8	Carl Mascio	\$ 30.00	\$ 213.45
PA-199-2018	Carroll	062400-423.11-1-29	Eric W Anderson	\$ 592.00	\$ 810.36
PA-200-2018	Carroll	062400-423.11-1-30	Eric W Anderson	\$ 208.00	\$ 388.22
PA-205-2018	Village of Sinclairville	062601-251.03-1-45	Matthew A Rudy	\$ 1,000.00	\$ 4,722.17
PA-208-2018	Village of Mayville	062801-245.20-1-18	Peter Poodyry	\$ 41,160.00	\$ 17,227.64
PA-209-2018	Village of Mayville	062801-245.20-1-24	Peter Poodyry	\$ 840.00	\$ 530.00
PA-220-2018	Cherry Creek	063000-237.11-2-20	Jeremy A Jock	\$ 10,000.00	\$ 17,394.85
PA-222-2018	Cherry Creek	063000-237.11-2-6	Michele Joseph	\$ 12,740.00	\$ 9,545.23
PA-223-2018	Cherry Creek	063000-237.12-1-51	MARC COLVIN	\$ 250.00	\$ 519,728.70
PA-234-2018	Village of Celoron	063801-386.06-4-3	Kathy Sullivan	\$ 10.00	\$ 413.48
PA-203-2017	Ellicott	063889-371.07-5-5	Eric W Anderson	\$ 400.00	\$ 2,158.84
PA-243-2018	Ellicott	063889-388.00-1-1	Brian L Hoitink	\$ 10.00	\$ 287.73
PA-249-2018	French Creek	064200-411.00-1-57	James Borsick	\$ 2,100.00	\$ 413.26
PA-252-2018	Gerry	064489-269.00-1-20.1	LITTLE IOWA LLC	\$ 42,500.00	\$ 3,264.28
PA-265-2018	Hanover	064689-16.18-2-44	Sandra L Morris	\$ 10.00	\$ 284.55
PA-268-2018	Hanover	064689-84.00-1-4	Danielle Corbett	\$ 10.00	\$ 265.97
PA-272-2018	Mina	065200-359.15-2-28	Sandra Lyn Mccray	\$ 250.00	\$ 353.91
PA-275-2018	North Harmony	065400-367.14-1-30	Kathy Sullivan	\$ 4.00	\$ 234.23
PA-276-2018	North Harmony	065400-367.14-1-32	Kathy Sullivan	\$ 6.00	\$ 270.37
PA-296-2018	Pomfret	065889-148.00-1-53	RYLT RE INC	\$ 60.00	\$ 574.28
PA-297-2018	Pomfret	065889-163.00-1-20	Sharilyn Gugino-Rossotto	\$ 16,000.00	\$ 11,315.88
PA-298-2018	Pomfret	065889-163.00-1-27	Richard Rechin	\$ 5,750.00	\$ 1,379.27
PA-306-2018	Village of Brocton	066001-162.09-1-66	Gregory Krauza	\$ 6,000.00	\$ 9,030.62
PA-309-2018	Portland	066089-145.00-1-29	Donna Fox	\$ 5,000.00	\$ 5,202.33
PA-311-2018	Portland	066089-161.10-1-43	CKM Properties LLC	\$ 13,000.00	\$ 8,395.81
PA-313-2018	Ripley	066200-223.00-1-50	Joseph Orton	\$ 1,610.00	\$ 1,193.08
PA-314-2018	Ripley	066200-223.00-1-51	Joseph Orton	\$ 18,860.00	\$ 10,707.83
PA-315-2018	Ripley	066200-223.00-1-52	Joseph Orton	\$ 2,530.00	\$ 1,516.72
PA-317-2018	Ripley	066200-239.00-1-15	Randy L Mattocks	\$ 8,500.00	\$ 4,202.75
PA-326-2018	Sheridan	066400-114.00-1-15	Mark A Shuler	\$ 650.00	\$ 1,238.91
PA-332-2018	Sheridan	066400-98.05-1-20	Ernie Laemmerhirt	\$ 15,000.00	\$ 16,205.35
PA-333-2018	Stockton	066889-197.00-1-19.2	Virgil J Yonkers	\$ 6,000.00	\$ 3,551.77

**APPROVED  
VETOES (VETO MESSAGE ATTACHED)**

**County Executive**

**Date**

PA-336-2018	Stockton	066889-232.00-1-31	Charles L Hebner Jr	\$ 6,500.00	\$ 1,336.68
PA-341-2018	Villanova	067000-187.00-1-22	Daniel Thomas O'Hara	\$ 2,000.00	\$ 432.59
PA-350-2018	Westfield	067289-176.06-1-34	James Brotz	\$ 480.00	\$ 225.22
PA-351-2018	Westfield	067289-176.06-1-35	James Brotz	\$ 480.00	\$ 225.22
PA-352-2018	Westfield	067289-176.06-1-36	James Brotz	\$ 480.00	\$ 225.22
PA-353-2018	Westfield	067289-176.06-1-37	James Brotz	\$ 480.00	\$ 225.22
PA-354-2018	Westfield	067289-176.06-1-38	James Brotz	\$ 480.00	\$ 225.22
PA-355-2018	Westfield	067289-176.06-1-39	James Brotz	\$ 480.00	\$ 225.22
PA-356-2018	Westfield	067289-176.06-1-40	James Brotz	\$ 480.00	\$ 225.22
PA-357-2018	Westfield	067289-176.06-1-41	James Brotz	\$ 1,320.00	\$ 375.98
PA-358-2018	Westfield	067289-176.06-1-42	James Brotz	\$ 1,320.00	\$ 375.98
PA-359-2018	Westfield	067289-176.06-2-1	Paul Battin	\$ 1,000.00	\$ 225.22
QC-17-2018	City of Dunkirk	060300-79.14-1-45	OMAR LAO	\$ 5,534.72	\$ 5,535.00
QC-18-2018	City of Dunkirk	060300-79.14-2-48	SHEILA BUCHANAN NANCE	\$ 4,085.15	\$ 3,678.50
QC-26-2018	City of Dunkirk	060300-79.15-3-29	NS163 LLC	\$ 9,152.95	\$ 9,495.00
QC-33-2018	City of Dunkirk	060300-79.16-3-51	ESTATE OF JOHN B JAGODA	\$ 699.37	\$ 655.00
QC-34-2018	City of Dunkirk	060300-79.16-3-52	ESTATE OF JOHN B JAGODA	\$ 7,102.25	\$ 5,857.00
QC-52-2018	City of Dunkirk	060300-96.06-3-12	THE CARE CENTER PHARMACY INC.	\$ 50,489.05	\$ 46,013.00
QC-70-2018	City of Jamestown	060800-370.19-7-6	MICHELLE R JOHNSON	\$ 245.65	\$ 246.00
QC-71-2018	City of Jamestown	060800-370.19-8-49	GREATER CHAUTAUQUA	\$ 14,833.73	\$ 14,833.73
QC-75-2018	City of Jamestown	060800-371.13-2-12	KRAIG JOHNSON	\$ 729.18	\$ 723.00
QC-78-2018	City of Jamestown	060800-371.13-5-37	CHRIS M PAULSON	\$ 5,438.08	\$ 5,438.00
QC-81-2018	City of Jamestown	060800-387.06-3-12	CARL A FAISON	\$ 3,677.75	\$ 3,678.00
QC-89-2018	City of Jamestown	060800-387.08-4-19	FAITH DIBLASI	\$ 3,676.14	\$ 3,676.00
QC-91-2018	City of Jamestown	060800-387.08-8-35	STACY L RUGG	\$ 1,564.53	\$ 1,564.53
QC-96-2018	City of Jamestown	060800-387.08-9-67	SHANE SAXTON	\$ 2,059.03	\$ 2,059.03
QC-97-2018	City of Jamestown	060800-387.09-1-14	JACQUELINE A VINCENT	\$ 8,410.18	\$ 8,410.00
QC-98-2018	City of Jamestown	060800-387.09-1-39	JOSE M RIVERA	\$ 2,949.83	\$ 2,949.83
QC-100-2018	City of Jamestown	060800-387.09-3-9	MANUEL HUNTER	\$ 8,820.77	\$ 8,821.00
QC-101-2018	City of Jamestown	060800-387.09-6-21	NEW FALLS CORPORATION	\$ 5,518.90	\$ 5,518.90
QC-107-2018	City of Jamestown	060800-387.12-8-31	DOUGLAS R TOWNE	\$ 11,742.32	\$ 11,742.32
QC-113-2018	City of Jamestown	060800-387.13-5-16	LESLIE C FAGAN	\$ 3,203.88	\$ 3,199.05
QC-125-2018	City of Jamestown	060800-387.15-9-25	TINDARO CALAMUNCI TTEE	\$ 5,328.17	\$ 5,328.00
QC-127-2018	City of Jamestown	060800-387.15-9-52	MARCUS R BJORK	\$ 4,420.37	\$ 4,420.00
QC-133-2018	City of Jamestown	060800-387.16-4-23	RYAN GUNNELL	\$ 10,131.78	\$ 10,131.78
QC-134-2018	City of Jamestown	060800-387.17-4-25	THOMAS CHRISTOFF	\$ 2,235.66	\$ 2,236.00
QC-135-2018	City of Jamestown	060800-387.17-4-26	THOMAS CHRISTOFF	\$ 2,582.10	\$ 2,582.00
QC-139-2018	City of Jamestown	060800-387.18-4-4	SARAH M BOSCIA	\$ 5,956.58	\$ 5,956.58
QC-143-2018	City of Jamestown	060800-387.20-2-46	MICHELLE PACE-WILLIAMS	\$ 12,800.71	\$ 12,800.71
QC-153-2018	City of Jamestown	060800-387.34-2-6	JEFFREY L FRANGIONE	\$ 6,428.78	\$ 6,484.13
QC-162-2018	City of Jamestown	060800-387.40-3-10	WORLD BUSINESS LENDERS	\$ 6,851.82	\$ 6,852.00
QC-164-2018	City of Jamestown	060800-387.42-2-6	THOMAS L OLDRO	\$ 2,065.87	\$ 2,066.00
QC-172-2018	Arkwright	062000-132.00-1-16	ALISHA SCINTA	\$ 24,399.84	\$ 24,400.00
QC-173-2018	Arkwright	062000-166.00-1-18.1	BERNARD T LINDQUIST	\$ 5,012.25	\$ 4,969.20
QC-178-2018	Village of Lakewood	062201-368.19-2-49	STEPHEN S SMITH	\$ 4,533.97	\$ 4,534.00
QC-180-2018	Village of Lakewood	062201-368.19-2-51	STEPHEN S SMITH	\$ 8,496.82	\$ 8,497.00
QC-183-2018	Village of Lakewood	062201-385.07-3-4	NS163 LLC	\$ 10,204.60	\$ 10,205.00
QC-196-2018	Carroll	062400-423.05-1-46	KEVIN E DELONG	\$ 15,990.42	\$ 15,990.00
QC-197-2018	Carroll	062400-423.05-1-70	KEVIN E DELONG	\$ 431.86	\$ 431.86
QC-201-2018	Carroll	062400-425.00-1-48	TROY JACKSON	\$ 7,250.27	\$ 7,250.00
QC-202-2018	Carroll	062400-441.00-2-43.1	ROBIN SCHROEDER	\$ 3,920.00	\$ 3,920.00
QC-204-2018	Village of Sinclairville	062601-251.01-1-21	RANDY D PERSON	\$ 4,561.58	\$ 4,561.58
QC-206-2018	Charlotte	062689-199.00-3-3..A	COUNTY OF CHAUTAUQUA IDA	\$ 517.03	\$ 517.03
QC-207-2018	Charlotte	062689-199.00-3-6.2..A	COUNTY OF CHAUTAUQUA IDA	\$ 517.03	\$ 517.03
QC-214-2018	Chautauqua	062889-260.00-1-6	FRED DAVIS	\$ 6,617.84	\$ 6,618.00
QC-216-2018	Chautauqua	062889-280.17-1-39	CHARLES R SMITH	\$ 8,397.88	\$ 8,398.00
QC-217-2018	Chautauqua	062889-313.00-1-1	ESTATE OF DON W BREADS	\$ 6,014.25	\$ 6,014.25

**APPROVED  
VETOES (VETO MESSAGE ATTACHED)**

**County Executive**

**Date**



QC-218-2018	Chautauqua	062889-314.00-1-14	CHANDRA TYLER	\$ 5,889.84	\$ 5,889.84
QC-219-2018	Chautauqua	062889-314.00-1-55	KARL J LYDELL	\$ 591.87	\$ 589.89
QC-224-2018	Cherry Creek	063000-237.12-1-8	HEATHER STEVES	\$ 4,023.38	\$ 4,023.00
QC-228-2018	Ellery	063689-318.00-1-8	DAVID R HARVEY	\$ 1,346.57	\$ 1,347.00
QC-229-2018	Ellery	063689-352.00-1-30	MARTIN A HEGLUND	\$ 3,158.26	\$ 3,158.26
QC-231-2018	Village of Celoron	063801-369.18-1-40	GLENN NECKERS	\$ 3,804.45	\$ 3,804.45
QC-238-2018	Village of Falconer	063803-371.07-2-23	KELSEY BRAUN	\$ 4,438.90	\$ 4,439.00
QC-240-2018	Ellicott	063889-337.00-1-39	LAVERNE JOHNSON	\$ 1,053.01	\$ 1,045.05
QC-241-2018	Ellicott	063889-353.00-5-39.1	SEAN K FITZGERALD	\$ 439.44	\$ 439.44
QC-244-2018	Ellicott	063889-388.00-1-9	DANIEL R COLBURN	\$ 4,219.55	\$ 4,219.55
QC-245-2018	Ellington	064000-270.00-3-11	U.S. BANK NA	\$ 8,605.82	\$ 8,605.82
QC-247-2018	Ellington	064000-288.18-2-31	ROBERT C FOY	\$ 4,989.60	\$ 4,990.00
QC-248-2018	Ellington	064000-322.00-1-3	ROBERT E JOHNSON	\$ 1,145.23	\$ 1,145.23
QC-250-2018	Village of Sinclairville	064401-251.03-2-24	ALAYZIA LOCKWOOD	\$ 840.13	\$ 827.73
QC-253-2018	Gerry	064489-269.00-1-40	WILLIAM J RASCH JR	\$ 4,534.27	\$ 4,534.00
QC-255-2018	Gerry	064489-302.13-1-20	DANIEL ROBERT LUCARIELLO	\$ 5,949.56	\$ 5,950.00
QC-257-2018	Village of Silver Creek	064603-32.18-1-36	ROBERT RIZZO	\$ 6,582.21	\$ 6,582.21
QC-263-2018	Hanover	064689-118.00-1-5	MIHKEL P RAAG	\$ 5,312.57	\$ 3,716.93
QC-271-2018	Kiantone	065000-422.00-1-11.2	MARVIN L SHAVER	\$ 4,001.28	\$ 4,001.00
QC-273-2018	North Harmony	065400-332.00-2-5	SUSAN L SLEBODA	\$ 3,962.51	\$ 3,923.28
QC-274-2018	North Harmony	065400-332.16-1-39	NANCY J SWANSON	\$ 4,421.21	\$ 4,421.21
QC-279-2018	Village of Fredonia	065801-113.12-1-14	PERRY A ALBERT	\$ 26,134.87	\$ 25,905.10
QC-292-2018	Pomfret	065889-131.09-1-8	ADAM J FULLER	\$ 316.02	\$ 316.02
QC-300-2018	Village of Brocton	066001-144.20-1-20	ROBERTA J LOVELAND	\$ 434.27	\$ 434.27
QC-301-2018	Village of Brocton	066001-144.20-1-21	ROBERTA J LOVELAND	\$ 19,975.71	\$ 19,976.00
QC-303-2018	Village of Brocton	066001-145.17-2-48	ROBERTA J LOVELAND	\$ 7,192.29	\$ 7,192.00
QC-316-2018	Ripley	066200-225.00-1-25	CHARLES W BRILVITCH	\$ 9,477.57	\$ 9,478.00
QC-318-2018	Ripley	066200-239.00-1-21	WILLIAM COLLINS	\$ 8,438.34	\$ 8,438.34
QC-322-2018	Ripley	066200-240.16-2-3	ESTATE OF REGALDO SOTO	\$ 6,278.90	\$ 6,279.00
QC-323-2018	Ripley	066200-240.16-2-52	MICHAEL FEDORCHAK	\$ 4,610.83	\$ 4,572.00
QC-331-2018	Sheridan	066400-63.00-2-6	GARY DUDEK II	\$ 14,006.19	\$ 14,006.19
QC-339-2018	Stockton	066889-265.00-3-5.1	CARL C HEILMAN	\$ 7,379.15	\$ 7,379.15
QC-340-2018	Villanova	067000-134.00-2-4	MIHKEL P RAAG	\$ 5,000.45	\$ 3,405.85
QC-346-2018	Village of Westfield	067201-193.17-1-16	JOAN DIMPERIO	\$ 6,360.10	\$ 6,360.10
QC-348-2018	Village of Westfield	067201-210.13-1-5	ESTATE OF ARTHUR E WRIGHT	\$ 2,747.52	\$ 2,748.00
QC-361-2018	Westfield	067289-310.00-3-9.3	DANIEL MILLIRON	\$ 1,108.51	\$ 1,109.00

**Totals** **\$902,841.32** **\$1,289,817.18**

**APPROVED**  
**VETOES (VETO MESSAGE ATTACHED)**

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**County Executive**

\_\_\_\_\_  
**Date**

**CHAUTAUQUA COUNTY  
RESOLUTION NO. \_\_\_\_\_**

CLS 06/25/18
ABC 06/28/18
SMA 6/29/18
KMD 6/29/18
KLC 7/9/18
GMB 7/9/18

**TITLE:** Budget Modification for Actual Youth Bureau Allocations Received

**BY:** Human Services and Audit & Control Committees:

**AT THE REQUEST OF:** County Executive George M. Borrello:

WHEREAS, the Chautauqua County Youth Bureau's New York State Office of Children and Family Services (OCFS) Youth Development allocation and Runaway and Homeless Youth (RHYA) I and RHYA II allocations for calendar year 2018 total \$206,755; and

WHEREAS, the 2018 Youth Bureau budget was prepared based on the total 2017 allocation of \$209,487; therefore, be it

RESOLVED, That the Director of Finance is authorized and directed to make the follow adjustments to the 2018 Budget:

INCREASE APPROPRIATION ACCOUNT:

A.7020.----.4 Contractual – Youth Bureau \$ 647

DECREASE APPROPRIATION ACCOUNT:

A.7310.----.4 Contractual – Youth Programs \$ 3,379

INCREASE REVENUE ACCOUNT:

A.7020.R382.0000 NYS Aid – Youth Programs \$10,647

DECREASE REVENUE ACCOUNT:

A.7310.R382.0000 NYS Aid – Youth Programs \$13,379

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**APPROVED**

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**VETOES (VETO MESSAGE ATTACHED)**

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**County Executive**

\_\_\_\_\_  
**Date**

**CHAUTAUQUA COUNTY  
RESOLUTION NO. \_\_\_\_\_**

CLS 07/03/18
KMW 07.09.18
SMA 7/9/18
KMD 7/9/18
KLC 7/9/18
GMB 7/11/18

**TITLE:** To Accept New York State Department of Health Nurse Family Partnership Program Grant

**BY:** Human Services and Audit & Control Committees:

**AT THE REQUEST OF:** County Executive George M. Borrello:

WHEREAS, the New York State Department of Health (NYSDOH) has awarded a Nurse Family Partnership Program Grant to the Chautauqua County Department of Health and Human Services to support Nurse Family Partnership activities for the period October 1, 2018 through September 30, 2023 in the amount of \$454,770; and

WHEREAS, the 2018 Chautauqua County Adopted Budget must be adjusted to include awarded funds of \$90,954 applicable to the 2018 budget year; now, therefore be it

RESOLVED, That the County Executive is hereby authorized to enter into agreements with the New York State Department of Health for program funding for so long as the County Department of Health and Human Services continues to be eligible for program funds; and be it further

RESOLVED, That the County Executive is hereby authorized to execute any additional documentation, amendments, or addenda necessary to effectuate the County's receipt of such funds; and be it further

RESOLVED, That the Director of Finance is authorized and directed to make the following changes to the 2018 budget:

**ESTABLISH AND INCREASE REVENUE ACCOUNT:**

A.4010.NURS.R340.1FOU New York State Aid – Public Health Administration  
(Nursing)--Nurse Family Partnership \$90,954

**DECREASE REVENUE ACCOUNT:**

A.4010.NURS R460.1FOU Federal Aid – Public Health Administration (Nursing)-  
Family Partnership Medicaid \$90,954

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**APPROVED**

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**VETOES (VETO MESSAGE ATTACHED)**

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**County Executive**

\_\_\_\_\_  
**Date**

SMA 7/11/18  
KMD 7/11/18  
KLC 7/11/18  
GMB 7/11/18

**CHAUTAUQUA COUNTY  
RESOLUTION NO. \_\_\_\_\_**

**TITLE:** Reallocate a Portion of 2018 3% Occupancy Tax Funds and Authorize Use of 3% Occupancy Tax Reserve for Dunkirk Offshore Powerboat Racing Event

**BY:** Planning & Economic Development and Audit & Control Committees:

**AT THE REQUEST OF:** County Executive George M. Borrello, County Legislator Robert Bankoski, and County Legislator Kevin Muldowney:

WHEREAS, Chautauqua County has established a 3% occupancy tax program for the purposes of promoting, developing, and protecting the area's tourism industry; and

WHEREAS, the City of Dunkirk was allocated \$12,000 of 3% occupancy tax funding in the 2018 budget for the Dunkirk Air Show, but instead of the air show the City is hosting an offshore powerboat racing event for the first time; and

WHEREAS, the City of Dunkirk has received funding commitments for the offshore powerboat racing event that include the Chautauqua County Industrial Development Agency (CCIDA) and presenting sponsors DFT Communications, ATHENEX, and Lake Shore Savings Bank; and

WHEREAS, economic benefits to the County from the offshore powerboat racing event include approximately 600 hotel room nights for race participants, additional hotel rooms and meals for spectators, and enhanced visitation at other area attractions; and

WHEREAS, the City of Dunkirk estimates that the event costs \$150,000 to bring to the area, including expenses such as promotional marketing, a crane for launching boats, sound engineers, helicopters, and insurance; and

WHEREAS, as of June of 2018, the balance of the 3% Occupancy Tax Reserve Account was \$126,258; therefore be it

RESOLVED, That the \$12,000 of 3% Occupancy Tax monies in the 2018 budget allocated to the Dunkirk Airshow are hereby reallocated to the Dunkirk Offshore Powerboat Racing Event; and be it further

RESOLVED, That an additional \$20,500 of the 3% Occupancy Tax Reserve is hereby allocated to offset further expenses associated with the Dunkirk Offshore Powerboat Racing Event as a tourist attraction; and be it further

RESOLVED, That the County Executive is authorized and empowered to enter into all necessary agreements with the City of Dunkirk to implement this resolution; and be it further

RESOLVED, That A Fund Balance is appropriated as follows:

**INCREASE THE USE OF APPROPRIATED FUND BALANCE:**

A.----.----.883 Fund Balance, Reserved Fund Bal – Reserve for Occupancy Tax      \$20,500

and be it further

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**APPROVED**

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**VETOES (VETO MESSAGE ATTACHED)**

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**County Executive**

\_\_\_\_\_  
**Date**

RESOLVED, That the Director of Finance is authorized and directed to make the following change to the 2018 budget:

INCREASE APPROPRIATION ACCOUNT:

A.6420.TOUR.4      Contractual – Promotion of Industry, Tourism      \$20,500

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APPROVED  
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VETOES (VETO MESSAGE ATTACHED)

\_\_\_\_\_  
County Executive      Date

**CHAUTAUQUA COUNTY  
RESOLUTION NO. \_\_\_\_\_**

DWM 6-20-18
ABC 6-21-18
SMA 6-22-18
KMD 6/22/18
KLC 6/25/18
GMB 6/25/18

**TITLE:** Authorize Grant Application to New York State Department of State (NYSDOS) Division of Planning Waterfront Revitalization Program to Fund Lake Erie Waterfront Development and Investment Prospectus

**BY:** Planning & Economic Development and Audit & Control Committees:

**AT THE REQUEST OF:** County Executive George M. Borrello:

WHEREAS, the five Great Lakes, their connecting channels, and the St. Lawrence River form one of the longest deep draft navigation systems in the world, which includes Chautauqua County's three Federal Harbors of Refuge on Lake Erie; and

WHEREAS, Barcelona Harbor, Dunkirk Harbor and Cattaraugus Creek Harbor are invaluable cultural and economic assets to Chautauqua County and to the waterfronts of the Town of Westfield, City of Dunkirk and the Town of Hanover; and

WHEREAS, the United States Army Corps of Engineers (USACE) has the primary responsibility for the operation and maintenance of these harbors and has deferred authorized maintenance activities, including dredging and breakwater repairs due to limited Federal funding for harbors with limited commercial navigation; and

WHEREAS, an economic impact analysis of the three harbors and Lake Erie waterfronts would support Chautauqua County's continuing effort to advocate for Federal funding for the authorized operation and maintenance of the harbors, support future applications for State and local funding opportunities for economic development, and encourage new private investment in our harbors and waterfronts; and

WHEREAS, this analysis of current and future economic impacts would also support and encourage future private investment and further economic development near the harbors and along the Lake Erie waterfront; and

WHEREAS, the Chautauqua County Division of Planning and Community Development desires to partner with the Town of Westfield, City of Dunkirk, Town of Hanover, and the Northern Chautauqua County Community Foundation's Local Economic Development Committee (LED) to apply for \$35,000 in grant funding from the New York State Department of State (NYSDOS) Division of Planning Local Waterfront Revitalization Program to retain a consultant to determine the true economic impact of the three harbors and entire waterfront by advancing a Development and Investment Prospectus; and

WHEREAS, the Chautauqua County Division of Planning and Community Development will coordinate the collaborative grant application effort and, if successful, commit up to \$3,500 of in-kind services for administration of the grant and project management activities as a portion of the required matching funds; and

WHEREAS, the Town of Westfield, City of Dunkirk, Town of Hanover, and the LED Group will also each commit up to \$2,500 of in-kind services for data collection and public outreach activities as matching funds in support of the effort; and

WHEREAS, the Lake Erie Management Commission is a strong advocate for Chautauqua County's harbors and waterfronts and has obligated \$5,000 from its annual Agency Allocation from the 2% Occupancy Tax Program for Lakes & Waterways as a match in support of the effort; and

WHEREAS, the 2% Occupancy Tax Reserve fund has a balance of approximately \$232,382.45; and

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**APPROVED**

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**VETOES (VETO MESSAGE ATTACHED)**

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**County Executive**

\_\_\_\_\_  
**Date**

WHEREAS, the Lake Erie Waterfront Development and Investment Prospectus grant application will require an additional \$5,000 of match funds to complete the project; now therefore be it

RESOLVED, That the Chautauqua County Legislature hereby supports the proposed collaborative effort to prepare and submit a grant application to the NYSDOS Division of Planning Local Waterfront Revitalization Program in connection with the New York State Consolidated Funding Application process; and be it further

RESOLVED, That if the grant is awarded, then the County will commit up to \$5,000 from the 2% Occupancy Tax Reserve to support this project.

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**APPROVED**

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**VETOES (VETO MESSAGE ATTACHED)**

\_\_\_\_\_  
**County Executive**

\_\_\_\_\_  
**Date**

**CHAUTAUQUA COUNTY  
RESOLUTION NO. \_\_\_\_\_**

DWM 6/26/18
ABC 6/28/18
SMA 6/29/18
KMD 6/29/18
KLC 7/9/18
GMB 7/9/18

**TITLE:** Environmental Assessment of Projects for 2019 2% Occupancy Tax Projects

**BY:** Planning and Economic Development and Audit & Control Committees:

**AT THE REQUEST OF:** County Executive George M. Borrello:

WHEREAS, the Chautauqua County Legislature requested by Resolution No. 162-18 that the Chautauqua County Department of Planning and Development (“CCDPD”) conduct a New York State Environmental Quality Review (“SEQRA”) for the projects approved by the Waterways Panel for funding in 2019 as set forth below, and provide a report and recommendations to the County Legislature; and

WHEREAS, CCDPD and the various involved agencies have reviewed the projects consistent with SEQRA and applicable state regulations; and

WHEREAS, the CCDPD recommends that the project ranked as number 6 on the Waterways Panel list provided below be classified as a Type II Action under 6 N.Y.C.R.R. Part 617.2 of the Environmental Conservation Law and does not require any additional environmental review; and

WHEREAS, the CCDPD recommends that the projects that are ranked as numbers 1 through 5 and 7 through 8 on the Waterways Panel list provided below be classified as Unlisted Actions under 6 N.Y.C.R.R. Part 617.2 of the Environmental Conservation Law; and

WHEREAS, the County has caused the attached Short Environmental Assessment Forms (SEAF) to be prepared for all projects recommended to be classified as Unlisted Actions; and

WHEREAS, the County has reviewed and analyzed the SEAF for each project, and considered any relevant areas of environmental concern and probable environmental impacts of the Actions to determine if the Actions may have any significant adverse environmental effects; now therefore be it

RESOLVED, That the County hereby finds and determines that Actions listed and identified below will not have a significant adverse environmental impact in accordance with New York State Environmental Quality Review Act, Article 8 of the New York Environmental Conservation Law, and pursuant to the implementing regulations found at 6 N.Y.C.R.R. Part 617, and accordingly, is issuing a negative declaration.

<b>Project/Agency or Organization</b>	<b>Rank</b>	<b>Amount Requested</b>	<b>Amount Recommended</b>
Lake Erie Tributary Knight Vineyards	1	\$ 27,565	\$ 27,565
Shorelands	2	\$ 20,000	\$ 20,000
Goose Creek Geiger	3	\$ 28,082	\$ 28,082
Maple Springs Rehab	4	\$ 24,750	\$ 24,750
Tupper Creek	5	\$ 40,000	\$ 40,000

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**APPROVED**

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**VETOES (VETO MESSAGE ATTACHED)**

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**County Executive**

\_\_\_\_\_  
**Date**



Boat Stewardship	6	\$ 39,972	\$ 39,972
Scott Creek Sheridan	7	\$ 17,115	\$ 17,115
Canadaway Creek Hurtgen	8	\$ 26,640	\$ 26,640
<b>TOTALS</b>			<b>\$ 224,124</b>

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**APPROVED**

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**VETOES (VETO MESSAGE ATTACHED)**

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**County Executive**

\_\_\_\_\_  
**Date**

**CHAUTAUQUA COUNTY  
RESOLUTION NO. \_\_\_\_\_**

<b>DMW 7.5.18</b>
<b>ABC 7.6.18</b>
<b>SMA 7/6/18</b>
<b>KMD 7/9/18</b>
<b>KLC 7/9/18</b>
<b>GMB 7/9/18</b>

**TITLE:** Implementing Resolution 194-17 - Commitment of Matching Funds for New York State Department of Environmental Conservation/New York State Environmental Facilities Corporation Wastewater Infrastructure Engineering Planning Grant Program for the Mayville – Chautauqua Stormwater Management Engineering Study

**BY:** Planning & Economic Development and Audit & Control Committees:

**AT THE REQUEST OF:** County Executive George M. Borrello:

WHEREAS, pursuant to Resolution 194-17, the County committed match of \$12,650 in local funding towards the EPG grant program for the Mayville - Chautauqua Stormwater Management Engineering Study from the reallocated Alliance capital projects program funds established under Resolution 131-15; and

WHEREAS, the project was successfully awarded state grant funding and it is necessary to amend the 2018 budget to implement the County’s matching commitment; therefore be it

RESOLVED, That the Director of Finance is authorized to make the following amendments to the 2018 Budget:

DECREASE CAPITAL APPROPRIATION ACCOUNT:

H.8020.674.4	Contractual – Chaut Lake Managemnt Comm (2008)	\$12,650
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ESTABLISH AND INCREASE APPROPRIATION ACCOUNT:

H.8020.37001.4	Contractual—Mayville Stormwater Study	\$12,650
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DECREASE CAPITAL REVENUE ACCOUNT:

H.8020.674.R503.1000	Interfund Transfer—Interfund Transfer	\$12,650
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ESTABLISH AND INCREASE REVENUE ACCOUNT:

H.8020.37001.R503.1000	Interfund Transfer—Interfund Transfer	\$12,650
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**APPROVED**

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**VETOES (VETO MESSAGE ATTACHED)**

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**County Executive**

\_\_\_\_\_  
**Date**



Lowe Park Stream Daylighting and Sediment Capture Stormwater Project	\$458,693	\$344,019	<b>\$5,000</b>	\$109,674*
Lakewood - Busti Precision Swale Retrofit	\$269,971	\$202,478	<b>\$5,000</b>	\$62,493*

\*Additional sources of match funding will be combination of cash and in-kind commitments from the Alliance, Village of Lakewood, and Town of Busti.

WHEREAS, the CCDPD, the Alliance, the Village of Celoron and the Town of Ellicott have identified the Ellicott Stormwater Management Engineering Study for application to the 2018 EPG grant program which provides reimbursement of the cost of water quality improvement study with a maximum award of \$100,000.00, with applicants providing a local match contribution of at least 20% of the total grant award; and

<b>Project Title</b>	<b>Total Project Costs</b>	<b>NYS CFA Funding Requested</b>	<b>Commitment of County Cash Matching Funds</b>	<b>Other Sources of Local Match</b>
Ellicott Stormwater Management Engineering Study	\$120,840	\$100,000	<b>\$5,000</b>	\$15,840*

\*Additional sources of match funding will be combination of cash and in-kind commitments from the Alliance, Village of Celoron, and Town of Ellicott.

WHEREAS, the CCDPD and the Alliance have identified a 9 Element Plan project for application to the 2018 LWRP grant program, a priority recommendation from the 2018 Harmful Algal Bloom (HAB) Action Plan for Chautauqua Lake; and

<b>Project Title</b>	<b>Total Project Costs</b>	<b>NYS CFA Funding Requested</b>	<b>Commitment of County Cash Matching Funds</b>	<b>Other Sources of Local Match</b>
9 Element Plan	\$270,600	\$202,950	<b>\$29,250</b>	\$38,400*

\*Additional source of match funding will be cash commitment from the Alliance.

WHEREAS, the CCDPD, the Alliance, and the Chautauqua Lake Association have identified the Chautauqua Lake Mechanized Floating Vegetation Collection project for application to the 2018 WQIP grant program; and

<b>Project Title</b>	<b>Total Project Costs</b>	<b>NYS CFA Funding Requested</b>	<b>Commitment of County In-Kind Matching Funds</b>	<b>Other Sources of Local Match</b>
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**APPROVED**

**VETOES (VETO MESSAGE ATTACHED)**

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County Executive

\_\_\_\_\_  
Date

Chautauqua Lake Mechanized Floating Vegetation Collection	\$500,000	\$375,000	<b>\$105,000*</b>	\$20,000**
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\*In-Kind matching funds will leverage a portion of the County 2% Occupancy Tax Program annual Agency Allocation to the Chautauqua Lake Association for the years 2018, 2019 & 2020.

\*\*Additional source of match funding will be cash commitment from the Alliance.

WHEREAS, by Resolution 131-15, the County reallocated project program dollars to the Alliance to specifically provide matching funding for grants to undertake Alliance member water quality projects to help Chautauqua Lake and its watershed and has \$55,351 still available; therefore, be it

RESOLVED, That the County shall commit a cash match of \$55,349 in local funding toward the first five 2018 CFA grant submissions listed above from the reallocated Alliance member water quality projects program funds established under Resolution 131-15; and be it further

RESOLVED, That the County shall commit an in-kind match toward the 2018 CFA grant submission titled Chautauqua Lake Mechanized Floating Vegetation Collection from the County 2% Occupancy Tax Program's annual agency allocation to the Chautauqua Lake Association for in-lake services.

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**APPROVED**

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**VETOES (VETO MESSAGE ATTACHED)**

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**County Executive**

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**Date**

SMA 7/3/18  
KMD 7/9/18  
KLC 7/9/18  
GMB 7/11/18

**CHAUTAUQUA COUNTY  
RESOLUTION NO. \_\_\_\_**

**TITLE:** Investment Policy for the County of Chautauqua

**BY:** Audit & Control Committee:

**AT THE REQUEST OF:** County Executive George M. Borrello and  
Audit & Control Committee:

WHEREAS, pursuant to Local Law 4-04 of the County of Chautauqua, the Chautauqua County Charter was amended to provide that the County Legislature shall annually adopt by resolution an investment policy prior to the County Executive's preparation of the tentative budget; and

WHEREAS, New York State General Municipal Law §39 also requires the County to adopt a comprehensive investment policy; and

WHEREAS, the change in name of one of the County's authorized depositories needs to be reflected in this update of the County Investment Policy; therefore be it

RESOLVED, That the County Investment Policy is hereby reconfirmed and amended in Section VII to read as follows:

**I. SCOPE**

This investment policy applies to all moneys and other financial resources available for investment on the County's own behalf or on behalf of any other entity or individual. The policy and procedure herein shall be in addition to any other requirements set forth in the Chautauqua County Charter, Chautauqua County Administrative Code and applicable law.

**II. OBJECTIVES**

The primary objectives of the County's investment activities are, in priority order,  
\*to conform with all applicable federal, state and other legal requirements (legality);  
\*to adequately safeguard principal (safety);  
\*to provide sufficient liquidity to meet all operating requirements (liquidity); and  
\*to obtain reasonable rate of return (yield).

**III. DELEGATION OF AUTHORITY**

The County's responsibility for administration of the investment program is delegated to the Director of Finance who shall establish written procedures for the operation of the investment program consistent with these investment guidelines. Such procedures shall include an adequate internal control structure to provide a satisfactory level of accountability, based on a database or records incorporating description and amounts of investments, transaction dates, and other relevant information, and to regulate the activities of subordinate employees.

**IV. PRUDENCE**

All participants in the investment process shall seek to act responsibly as custodians of the public trust and shall avoid any transaction that might impair public confidence in the County to govern effectively.

Investments shall be made with judgment and care, under circumstances then prevailing, which persons of prudence, discretion, and intelligence exercise in the management of their own affairs, not for speculation, but for investment, considering the safety of the principal as well as the probable income to be derived.

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All participants involved in the investment process shall refrain from personal business activity that could conflict with proper execution of the investment program, or which could impair their ability to make impartial investment decisions.

V. DIVERSIFICATION

It is the policy of the County to diversify its deposits and investments by financial institution, by investment instrument, and by maturity schedule.

VI. INTERNAL CONTROLS

It is the policy of the County for all moneys collected by any officer or employee of the government to transfer those funds to the Director of Finance within two (2) days of receipt, or within the time period specified in law, whichever is shorter.

The Director of Finance is responsible for establishing and maintaining an internal control structure to provide reasonable, but not absolute, assurance that deposits and investments are safeguarded against loss from unauthorized use or disposition, that transactions are executed in accordance with management's authorization and recorded properly, and are managed in compliance with applicable laws and regulations.

VII. DESIGNATION OF DEPOSITARIES

The banks and trust companies authorized for the deposit of monies, up to the maximum amount of \$40,000,000 for each institution, are:

Community Bank	Key Bank of New York	Lakeshore Savings & Loan
M & T Bank	<del>Jamestown Savings Bank</del>	Bank of America
Evans Bank, N.A.	Citizen's Bank N.A.	JP Morgan Chase, N.A.
PayPal	<u>Northwest Bank</u>	

VIII. COLLATERALIZING OF DEPOSITS

In accordance with the provision of General Municipal Law, Section 10, all deposits of the County, including certificates of deposit and special time deposits, in excess of the amount insured under the provisions of the Federal Deposit Insurance Act shall be secured by one or more of the following:

1. By a pledge of "eligible securities" with an aggregate "market value," or provided by general Municipal Law, Section 10, equal to the aggregate amount of deposits from the categories as designated and approved by the New York State Comptroller.
2. By an eligible "irrevocable letter of credit" issued by a qualified bank other than the bank with the deposits in favor of the government for a term not to exceed 90 days with an aggregate value equal to 140% of the aggregate amount of deposits and the agreed upon interest, if any. A qualified bank is one whose commercial paper and other unsecured short-term debt obligations are rated in one of the three highest rating categories by at least one nationally recognized statistical rating organization or by a bank that is in compliance with applicable federal minimum risk-based capital requirements.
3. By an eligible surety bond payable to the government for an amount at least equal to 100% of the aggregate amount of deposits and the agreed upon interest, if any, executed by an insurance company authorized to do business in New York State, whose claims - paying ability is rated in the highest rating category by at least two nationally recognized statistical rating organizations.

IX. SAFEKEEPING AND COLLATERALIZATION

Eligible securities used for collateralizing deposits shall be held by the depository bank or trust company subject to security and custodial agreements. The security agreement shall provide that eligible securities are being pledged to secure the County deposits together with agreed upon interest, if any, and any costs or expenses arising out of the collection of such deposits upon default. It shall also provide the conditions under which the securities may be sold, presented for

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**APPROVED**

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payment, substituted or released, and the events which will enable the County to exercise its rights against the pledged securities. In the event that the securities are not registered or inscribed in the name of the County, such securities shall be delivered in a form suitable for transfer or with an assignment in blank to the County or its custodial bank.

The custodial agreement shall provide that securities held by the bank or trust company, or agent of and custodian for the County, will be kept separate and apart from the general assets of the custodial bank or trust company and will not, in any circumstances, be commingled with or become part of the backing for any other deposit or other liabilities. The agreement should also describe that the custodian shall confirm the receipt, substitution, or release of the securities. The agreement shall provide for the frequency of revaluation of eligible securities and for the substitution of securities when a change in the rating of a security may cause ineligibility.

Such agreement shall include all provisions necessary to provide the County a perfected interest in the securities.

#### X. PERMITTED INVESTMENTS

As authorized by General Municipal Law, Section 11, the County authorizes the Director of Finance to invest moneys not required for immediate expenditure for terms not to exceed its projected cash flow needs in the following types of investments:

- \*Special time deposit accounts;
- \*Certificates of deposit;
- \*Obligations of the United States of America;
- \*Obligations guaranteed by agencies of the United States of America where the payment of principal and interest are guaranteed by the United States of America;
- \*Obligations of the State of New York;
- \*Obligations issued pursuant to Local Finance Law Section 24.00 or 25.00 (with approval of the State Comptroller) by any municipality, school district, or district corporation other than the County;
- \*Obligations of public authorities, public housing authorities, urban renewal agencies and industrial development agencies where the general State statutes governing such entities or whose specific enabling legislation authorize such investments;
- \*Certificates of Participation (COPS) issued pursuant to GML, Section 109-b;
- \*Obligations of the County, but only with any moneys in a reserve fund established pursuant to GML, Section 6-c, 6-d, 6-e, 6-g, 6-h, 6-j, 6-k, 6-l, 6-m, or 6-n;

All investment obligations shall be payable or redeemable at the option of the County within such time as the proceeds will be needed to meet expenditures for purposes for which the moneys were provided and, in the case of obligations purchased with the proceeds of bonds or notes, shall be payable or redeemable at the option of the County within two years of the date of purchase.

#### XI. AUTHORIZED FINANCIAL INSTITUTIONS AND DEALERS

The County shall maintain a list of financial institutions and dealers approved for investment purposes and establish appropriate limits to the amount of investments which can be made with each financial institution or dealer. All financial institutions with which the County conducts business must be credit worthy. Banks shall provide their most recent Consolidated Report of Condition (Call Report) at the request of the County. Security dealers not affiliated with a bank shall be required to be classified as reporting dealers affiliated with the New York Federal Reserve Bank, as primary dealers. The Director of Finance is responsible for evaluating the financial position and maintaining a listing of proposed depositaries, trading partners and custodians. Such listing shall be evaluated at least annually.

The financial institutions and dealers, authorized for investment, up to an aggregate amount of \$100 million, are as follows:

Prudential Securities	Crew & Associates
Merrill Lynch	Mischler Financial Group
Bank of New York	Federal Reserve Bank –Treasury Direct

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**Date**



First Empire Securities  
Morgan Stanley  
McDonald Investments  
Wachovia Securities  
Edward Jones

Manufacturer's & Traders Trust Co.  
Financer Securities  
JP Morgan Chase, N.A.  
Greenwich Capital  
Duncan-Williams Inc.

The authorized custodians, up to an aggregate amount of \$100 million, are as follows:

Bank of New York	RIBS Greenwich Capital
Federal Reserve Bank	Manufacturer's & Traders Trust Co.
Wachovia Securities	Wilmington Trust
Edward Jones	Morgan Stanley
JP Morgan Chase, N.A.	

## XII. PURCHASE AND SALE OF INVESTMENTS

The Director of Finance is authorized to contract for the purchase and sale of investments:

1. From an authorized trading partner, including through a repurchase agreement, future, or option contract.
2. By participation in a cooperative investment program with another governmental entity pursuant to Article 5G of the General Municipal Law where such program meets all the requirements set forth in the Office of the State Comptroller Opinion No. 88-46, and the specific program has been authorized by the County.

3. By utilizing an ongoing investment program with an authorized tracking partner pursuant to a contract authorized by the County.

All purchased obligations, unless registered or inscribed in the name of the County, shall be purchased through, delivered to and held in the custody of a bank or trust company. Such obligations shall be purchased, sold, or presented for redemption or payment by such bank or trust company only in accordance with prior written authorization from the officer authorized to make the investment. All such transactions shall be confirmed in writing to the County by the bank or trust company. Any obligation held in the custody of a bank or trust company shall be held pursuant to a written custodial agreement as described in General Municipal Law, Section 10.

The custodial agreement shall provide that securities held by the bank or trust company, as agent of and custodian for the County, will be kept separate and apart from the general assets of the custodial bank or trust company and will not, in any circumstances, be commingled with or become part of the backing for any other deposit or other liabilities. The agreement shall describe how the custodian shall confirm the receipt and release of the securities. Such agreement shall include all provisions necessary to provide the County a perfected interest in the securities.

The Director of Finance shall notify the County Attorney and obtain approval as to the legality of an investment prior to making purchases of any new type of investment not currently in the County's portfolio. With regard to obligations issued by any municipality, school district, or district corporation other than the County, or obligations of public authorities, public housing authorities, urban renewal agencies and industrial development agencies, the Director of Finance shall also receive the approval of the County Attorney as to the legality of the investment prior to making purchases of such investments.

## XIII. REPURCHASE AGREEMENTS

Repurchase agreements are authorized subject to the following restrictions:

\* All repurchase agreements must be entered into subject to a Master Repurchase Agreement. Trading partners are limited to banks or trust companies authorized to do business in New York State and primary reporting dealers.

\* Obligations shall be limited to obligations of the United States of America, and obligations guaranteed by agencies of the United States of America, where principal and interest are guaranteed by the United States of America.

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**Date**



