

## Agenda

Administrative Services Committee

**Tuesday, January 17, 5:00pm**, Room 331

Gerace Office Building, Mayville, NY

- A. Call to Order
- B. Approval of Minutes (12/12/16)
- C. Privilege of the Floor
  - 1. Local Law Intro. 1-17 – A Local Law Amending the Chautauqua County Code of Ethics
  - 2. Proposed Resolution – Confirm Re-Appointment - Chautauqua County Ethics Board
  - 3. Proposed Resolution – Close Accounts for Completed IT Capital Project as of 12/31/16
  - 4. Proposed Resolution – Quit Claim Deeds
  - 5. Discussion – State Comptroller’s Report
  - 6. Discussion – Amending Rules & Regulations Regarding Verbatim Minutes
  - 7. Other

LOCAL LAW  
INTRODUCTORY NO. 1-17  
CHAUTAUQUA COUNTY

A LOCAL LAW AMENDING THE CHAUTAUQUA COUNTY CODE OF ETHICS

BE IT ENACTED, by the County Legislature of the County of Chautauqua, New York, as follows:

**SECTION I. Local Law 5-13 of the County of Chautauqua, entitled “A Local Law Amending the Chautauqua County Code of Ethics, is hereby amended by substitution to state as follows:**

**Section 1.     Short Title**

This code of ethics shall be known as the "Chautauqua County Code of Ethics."

**Section 2.     Legislative Purpose.**

The purpose of this Code is to establish minimum standards of ethical conduct for County officers and employees to ensure that County government is free from improper influence. Ethical conduct ultimately depends on the personal integrity of County officers and employees and on the vigilance of their communities. The establishment of the standards and guidelines set forth in this code is a step toward providing the highest caliber of public administration for County government and increased confidence in its officials, while recognizing that public service cannot require a complete divesting of all proprietary interests nor impose overly burdensome disclosure requirements if County government is to attract and hold competent administrators.

By requiring public disclosure of interests that may influence or be perceived to influence the actions of County officials, this code is intended to facilitate consideration of potential problems before they arise, to minimize unwarranted suspicion, and to enhance the accountability of government to the people.

The disclosure requirements of this Code of Ethics are in addition to any other requirements imposed by law. Additional disclosure to the public is available pursuant to the New York State Freedom of Information Law and Election Law requirements. Copies of all contracts with the County are also available for public inspection at the Office of the Clerk of the County Legislature.

**Section 3.     Definitions**

When used in this Code and unless otherwise expressly stated:

1. "Agency" means any office, board, body, advisory board, council, commission, agency, department, district, administration, division, bureau, or committee of the County.
2. "Appear" and "Appear before" mean communicating in whatever form, whether personally or through another person.
3. "County officer or employee" means any officer or employee of the County, whether paid or unpaid, including Public officials and all other members of any Agency of the County, but does not include a judge, justice, officer, or employee of the Unified Court System.
4. "Dependent" means an individual who will be claimed by the County officer or employee as a dependent on the current year's State or Federal tax return.
5. "Family member" means a spouse, child, step-child, parent, step-parent, sibling, step-sibling, half-sibling, Dependent, and household member of a County officer or employee.
6. "Person" means an individual, corporation, partnership, unincorporated association, and all other entities.
7. "Related person" means a spouse, parent, child, or sibling, including step and half relations, a Dependent, a member of the County officer or employee's household, or a first cousin, aunt, uncle, niece, or nephew.
8. "Public official" means any official who has discretionary authority, either alone or as a member of an Agency, but does not include a judge, justice, officer, or employee of the Unified Court System.

#### **Section 4. Conflicts of Interest of County Officers and Employees**

1. No County officer or employee shall directly or indirectly do or take any act prescribed below, or agree to do such acts, or attempt such acts, or induce another Person to do such acts:
  - a. act or Appear as agent, broker, employee, consultant, or representative for any third party in connection with any transaction that involves discretionary acts of any County officer or employee or act or Appear in any matter in which the County is a party or a complainant except on behalf of the County or himself or herself.
  - b. solicit any gift, or accept or receive any gift having a value of seventy-five dollars (\$75.00) or more per year from any Person, other than a Family member, whether in the form of money, service, loan, travel, entertainment, hospitality, thing or promise, or in any other form, who the County officer or employee knows is considering or has had any transactions with the county that involves any discretionary act by the County officer or employee.

- c. take or refrain from taking any action on any matter before the County in order to obtain a pecuniary or material benefit different from that to be derived by the general public for:
- (i) himself or herself;
  - (ii) a Family member;
  - (iii) any partnership or unincorporated association of which the County officer or employee is a member or employee or in which he or she has a proprietary interest;
  - (iv) any corporation of which the County officer or employee is an officer or director or of which he or she legally or beneficially owns or controls more than five percent (5%) of the outstanding stock;
  - (v) any Person with whom the County officer or employee or his or her Family member has an employment, professional, business, or financial relationship, provided, however, that relationships which are available to and entered into by the general public on the same terms and conditions as those applicable to the County officer or employee, such as relationships in the nature of bank accounts, credit cards, bank loans, and mortgages, shall not be deemed to be financial relationships for purposes of this section; or
  - (vi) any Person from whom the County officer or employee or his or her spouse has received a pecuniary or material benefit having an aggregate value greater than two thousand dollars (\$2,000.00) per calendar year.

Examples of material or pecuniary benefits include, but are not limited to, hiring, promoting, authorizing a pay increase, providing a performance review, a job assignment or job description change, work schedule change, approving a voucher or expense claim, awarding a contract, extension of time to perform a contract, a contract dollar amount increase, and purchasing goods. Nothing in this section shall be construed as prohibiting a County officer or employee from performing a purely ministerial act.

Notwithstanding the above, a County officer or employee may respond to questions asked by an individual who will be taking or refraining from taking an action.

- d. solicit directly or indirectly any non-elected officer or employee of the County or any entity that is not subject to competitive bidding, to participate in an election campaign, or pay any assessment, subscription, or contribution to a political party, political party organization or election campaign. This paragraph shall not prohibit a

general solicitation of a class of persons, other than those expressly prohibited, of which such solicited officer or employee happens to be a member.

- e. except where authorized by law, disclose any confidential information acquired in the course of official duties or use any such information for personal gain or to advance the financial interests of any other Person.
- f. after termination of his or her term of office or employment with the County, Appear before the County or receive compensation for any services rendered on behalf of any Person other than the County in relation to any particular matter upon which he or she took any discretionary act during his or her term of office or employment with the County.
- g. no paid County officer or employee shall for two years after the termination of employment Appear before his or her former County department or receive compensation for any services (except on behalf of the County or himself or herself) in relation to any matter of the type over which he or she had discretionary authority during his or her term of office or employment with the County, whether or not he or she exercised such authority.

This subsection shall not apply to any elected official, member, or employee of a federal, state, or local government or one of their agencies or instrumentalities, or to any action by a licensed attorney that is permitted by the New York State Rules of Professional Conduct.

- h. act or Appear as an attorney in: (1) any litigation in which the County is a party or complainant that involves torts, civil rights, contracts, or eminent domain, except on behalf of the County or himself of herself; or (2) any other matter in which the County is a party or complainant that would constitute a violation of the New York State Rules of Professional Conduct.

2. No partnership, unincorporated association, corporation or any other entity owned or controlled by a County officer or employee shall Appear before any County department or Agency which employs such County officer or employee except on behalf of the County or itself.

3. Nothing in this Local Law shall be construed to prohibit a County officer or employee or any other Person from receiving a County service or benefit or using a County facility which is generally available to residents or to a class of residents in the County.

4. Nothing in this Local Law shall be construed to prohibit a County officer or employee from performing any ministerial act.

5. Nothing in this Local Law shall be construed to prohibit an unpaid member of a County Agency from acting or appearing for a third party regarding a matter unrelated to the business of their County Agency, nor prohibit a County officer or employee who is an elected

Public official of another governmental entity from acting or appearing in their official capacity on behalf of such other governmental entity.

6. Nothing in this Local Law shall be construed to prohibit an unpaid member of an advisory County board, bureau, council, committee, or commission from acting or appearing for a third party regarding a contract with the County when such member has no power or duty to:

- a. negotiate, prepare, authorize or approve the contract or authorize or approve payment thereunder;
- b. audit bills or claims under the contract; or
- c. appoint an officer or employee who has any of the powers or duties set forth above.

7. Nothing in this Local Law shall be construed to prohibit a County officer or employee from serving in an unpaid capacity as an officer or director of a non-profit or governmental entity, when such service is in furtherance of the official duties of the County officer or employee.

**Section 5. Interests in Contracts Pursuant to General Municipal Law**

1. No County officer or employee may have an interest in a contract that is prohibited by section 801 of New York General Municipal Law.

2. Every County officer and employee shall disclose interests in contracts with the County at the time and in the manner required by section 803 of New York General Municipal Law.

**Section 6. Use of County Resources**

The use of County resources by County officers and employees shall be in compliance with County policies and procedures.

**Section 7. Procurement Integrity**

1. While procuring property or services of more than fifty thousand dollars (\$50,000.00) in value, no County officer or employee shall solicit, discuss, or accept, directly or indirectly, any promise of future employment or business opportunity from any contractor competing for such procurement.

2. While competing for award of a County procurement of property or services of more than fifty thousand dollars (\$50,000.00) in value, no contractor shall discuss or make, directly or indirectly, any offer or promise of future employment or business opportunity to any official of the County involved in such procurement.

**Section 8. Annual Disclosure**

1. All Public officials who are elected or paid shall file with the Ethics Board, by depositing with the Office of the County Executive, a signed annual disclosure statement utilizing the disclosure statement form filed with the Clerk of the County Legislature on January 11, 2017:

- a. within thirty (30) days of taking office; and
- b. no later than April 30 of each year thereafter.

Within thirty (30) days of any material change in the information contained in his or her most recently filed statement, the Public official shall file a signed amendment to the statement indicating the change. The Ethics Board shall have free and unlimited access to all forms at all times.

2. If a Public official who is elected or paid is not able, after reasonable efforts, to obtain some or all of the information required by paragraph two of this section which relates to his or her spouse or household member, he or she shall so state, as part of the annual disclosure statement.

3. The Ethics Board shall review all disclosure statements. Upon being satisfied as to the content and completeness of a statement, the Ethics Board shall return the statement to the Office of the County Executive, which will in turn file the original statement with the County Clerk and an electronic copy with the Clerk of the Legislature. All such statements are public records and shall be kept on file for at least seven (7) years.

**Section 9. Lists of Public Officials; Notice of Filing Requirements; Verification of Filing; Training and Distribution of Code**

1. The following Public officials are subject to disclosure requirements:

- Administrative Assistant
- Assistant Construction & Maintenance Supervisor
- Assistant County Attorney
- Assistant Deputy Director of Public Facilities (Buildings & Grounds)
- Assistant District Attorney
- Assistant Public Defender
- Assistant Social Services Attorney
- Assistant to Commissioner of Social Services
- Associate Public Health Engineer
- Board of Health Members
- Budget Director

Chairman of North Chautauqua County Water District  
Chairman of North County Industrial Water/Sewer District 1  
Chairman of South and Center Chautauqua Lake Sewer Districts Board  
Chautauqua County Land Bank Corporation Board Members  
Chautauqua Tobacco Asset Securitization Corporation Members  
Chief Information Officer  
Child Psychiatrist  
Clerk of the Legislature  
Commissioner of Elections  
Commissioner of Health Services  
Commissioner of Social Services  
Construction & Maintenance Supervisor  
Coroner  
County Attorney  
County Clerk  
County Executive  
County Fire Coordinator  
County Historian  
Deputy Clerk of the Legislature  
Deputy Commissioner of Social Services  
Deputy County Clerk  
Deputy County Executive  
Deputy Director of Central Services (Office Services)  
Deputy Director of Central Services (Purchasing)  
Deputy Director of Finance  
Deputy Director of Planning  
Deputy Director of Planning and Economic Development  
Deputy Director of Public Facilities (Engineering)  
Deputy Director of Public Facilities (Environment, Airports, Parks & Buildings & Grounds)  
Deputy Director of Public Facilities (Transportation)  
Deputy Probation Director II  
Deputy Public Health Director  
Deputy Sheriff Captain  
Deputy Sheriff/Jail Supervisor  
Director of Administrative Services  
Director of Aging Health Services  
Director of Certification  
Director of Community Mental Hygiene Services  
Director of Economic Development  
Director of Emergency Services  
Director of Environmental Health Services  
Director of Finance  
Director of Health & Human Services  
Director of Human Resources  
Director of Information Services



Director of Intermunicipal Services  
Director of North Chautauqua Lake Sewer District  
Director of Office for the Aging  
Director of Office of Management and Budget  
Director of Patient Services  
Director of Planning  
Director of Planning and Economic Development  
Director of Portland-Pomfret-Dunkirk Sewer District  
Director of Public Facilities  
Director of Real Property Tax Services III  
Director of Social Services  
Director of South & Center Chautauqua Lake Sewer Districts  
Director of Veterans Service Agency  
District Attorney  
Engineer III  
Executive Assistant  
Financial Analyst to Legislature  
First Assistant County Attorney  
First Assistant District Attorney  
First Assistant Public Defender  
First Assistant Social Services Attorney  
First Deputy Director of Finance  
Health Administrator  
Insurance Administrator  
Legal Counsel to the Legislature  
Legislator  
Manager of Airports  
Members of the Chautauqua County Soil and Water Conservation District  
Mental Hygiene Program Coordinator  
Personnel Technician  
Physician  
Planning Board Members  
Probation Director II  
Probation Supervisor  
Public Defender  
Public Health Director  
Purchasing Agent  
Purchasing Manager  
Real Property Systems Coordinator/Assistant Director  
Sanitation Supervisor  
Sealer of Weights and Measures  
Second Assistant County Attorney  
Second Assistant District Attorney  
Second Assistant Public Defender  
Senior Personnel Technician  
Senior Personnel Technician/Deputy Director of Human Resources

Senior Project Coordinator (Transportation)  
Sheriff  
Social Services Attorney  
Special Assistant for Medicaid  
Special Projects Coordinator  
Supervising Clinical Psychologist  
Undersheriff  
Warden

All other officers or employees who are authorized to approve vouchers, purchase orders, contracts and payroll such as purchasing clerks and purchasing agents.

2. Before May 15th of each year, the County Executive shall verify that every affected Public official has filed his or her annual disclosure statement.

3. Failure of the County Executive or the elected officer to comply with this section shall not relieve any Public official who is elected or paid from his or her duty to file an annual disclosure statement pursuant to this Code of Ethics.

4. All officials and employees subject to the requirements of the Chautauqua County Code of Ethics are required to undergo annual training, to be implemented by the County Department of Human Resources at the time of hire for new employees, and to be held between January 1 and March 30 of each year for existing employees, to inform and educate them as to the requirements of this Code. All those subject to the requirements of this Code are also to receive an updated and current version of this Code, or other appropriate training material, immediately prior to or during their annual training.

#### **Section 10. Reporting Violations.**

All County officers and employees are encouraged to report any instances of suspected or known violations of this Code. Reports may be made to a supervisor, or to the Chautauqua County Ethics Board, PO Box 13, Jamestown, New York 14702-0013. Making a report with knowledge that all or part of the information in the report is false is a violation of this Code and may result in penalties as provided herein.

#### **Section 11 . Inducement of Violations**

Any Person, whether or not a County officer or employee, who intentionally induces any County officer or employee to take any action or to refrain from taking any action in violation of any provision of this Code, violates this Code.

## **Section 12. Civil and Administrative Penalties**

1. Any County officer or employee who engages in any action that violates any provision of this Code may be warned or reprimanded or suspended or removed from office or employment or be subject to any other sanction authorized by law or collective bargaining agreement, by the appointing authority or Person or body authorized by law to impose such sanctions.

2. Any County officer or employee who violates any provisions of this Code may be subject to a civil fine of up to ten thousand dollars (\$10,000) for each violation, as may be determined by the Ethics Board. A civil fine may be imposed in addition to any other penalty contained in any other provisions of law or in this Code.

3. Any County officer or employee who violates any provision of this Code shall be liable in damages to the County for any losses or increased costs incurred by the County as a result of the violation. Such damages may be imposed in addition to any other penalty contained in any other provision of law or in this Code.

## **Section 13. County Ethics Board**

1. Establishment. The County Legislature hereby establishes an Ethics Board consisting of five (5) members. The Ethics Board shall be responsible for ensuring full compliance with the Code of Ethics. All actions of the Ethics Board shall be by a vote of at least three (3) members. Members of the Ethics Board shall serve without compensation.

2. Appointment. Members of the Ethics Board shall be appointed by the County Executive and confirmed by a two-thirds vote of the County Legislature. No Ethics Board members shall hold office in a political party, be employed as a lobbyist, be an immediate relative of a County officer or employee, or have any financial interest in any contracts or other transactions involving the County.

3. Term. The members of the Ethics Board shall serve three-year staggered terms, with three terms expiring in odd years and two terms expiring in even years. If a vacancy occurs on the Ethics Board, the new member shall be appointed to fill the unexpired portion of the term. Members of the Ethics Board may be removed for cause by the County Executive with the concurrence of two-thirds of the County Legislature. Grounds for removal shall be neglect of duty, misconduct in office, inability to discharge the powers or duties of the office, or violation of the Code of Ethics. Prior to removal, the Ethics Board member shall be given written notice of the reasons for removal and an opportunity to reply.

4. Powers and Duties. The Ethics Board shall have the following powers and duties:

- a. Prescribe and promulgate rules and regulations governing its internal organization and procedures consistent with the Code of Ethics;

- b. Review with Public officials the disclosure requirements of this Code, and ensure proper filing of all disclosure statements;
- c. Conduct investigations, hearings, and other examinations relating to the Code of Ethics, with the power to issue subpoenas where necessary;
- d. Recommend and impose sanctions, penalties, or fines in accordance with the Code of Ethics;
- e. Render advisory opinions upon request of any County officer or employee;
- f. Recommend content for training and education of County officers and employees regarding the requirements of the Code of Ethics;
- g. Prepare an annual report and recommend changes or improvements to the Code of Ethics;
- h. Perform such other related duties as requested by the County Executive;
- i. Meet at least four times a year, and publish notice of meetings and the names of Ethics Board members in the County's official newspapers. In addition, notice of meetings shall be furnished to radio stations located in the County; and
- j. Arrange for a separate post office box for the Ethics Board, accessible only by officers of the Ethics Board.

**Section 14. Separability.**

If any clause, sentence, paragraph, or section of this Code of Ethics is adjudged by any court of competent jurisdiction to be invalid, such adjudication shall not affect, impair or invalidate the remainder thereof, and the unaffected portions of this Code of Ethics shall remain in full force and effect.

**SECTION II. This Local Law shall become effective upon filing with the Secretary of State.**

**(YEAR) ANNUAL STATEMENT OF FINANCIAL DISCLOSURE  
FOR THE COUNTY OF CHAUTAUQUA**

**YOU MUST ANSWER ALL QUESTIONS  
(If you have no information to enter, check “No” or “None”)**

If you need additional space for any answers, please attach a separate sheet.

**1. NAME AND ADDRESS.**

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Full Name (including middle initial)

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Title

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Department or Agency

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County Address

**2. SPOUSE.** Provide the name of your spouse (if married) and include his or her middle initial.

NO SPOUSE (Check if appropriate)

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Spouse

**3. CHILDREN.** Provide the names and ages of any dependent children, including middle initials for all. A dependent child is a child who may be listed on your federal tax return as a dependent during the year in which the disclosure form is completed.

NO DEPENDENT CHILDREN (Check if appropriate)

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Child/Age

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Child/Age

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Child/Age

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Child/Age

(If you have more than four (4) children, please provide their names and ages on a separate sheet.)

NAME: \_\_\_\_\_

FOR (YEAR)

**4. EMPLOYMENT**

**a. Non-County-Government Employment.** Describe any non-county government occupation, employment, trade, business or profession providing more than \$1,000.00 of income in the previous calendar year for you (and/or your spouse and/or dependent children), and indicate whether such activities are regulated by any State or local agency.

NONE (Check if appropriate)

Name of Family Member	Position	Name, Address & Description of Organization	State or Local Agency
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

**b. Future Employment.** Describe any contract, promise or other agreement between you and any third party, with respect to your employment after leaving your County office or position. Include the name and address of the other party, and describe the nature of the future employment.

NONE (Check if appropriate)

\_\_\_\_\_  
\_\_\_\_\_

**c. Past Employment.** Identify the source and nature of any income currently received, or received in the previous twelve (12) months, in excess of \$1,000.00 per calendar year from any prior employer, including deferred income, contributions to a pension or retirement fund, profit sharing plan, severance pay, or payments under a buy-out agreement.

NONE (Check if appropriate)

Name & Address of Income Sources	Description of Income (i.e., pension, deferred, etc.)
_____	_____
_____	_____
_____	_____
_____	_____

NAME: \_\_\_\_\_

FOR (YEAR)

5. BUSINESS POSITIONS. List any office, trusteeship, directorship, partnership, or other position in any business, municipality, association, proprietary, or not-for-profit organization for you (and/or your spouse and/or dependent children), which has not been listed above, and indicate whether these businesses are involved with the County of Chautauqua in any manner. This includes volunteer, unpaid positions on boards.

NONE (Check if appropriate)

Name of Family Member	Position	Organization	County Department or Agency and Nature of Involvement
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

6. ASSETS AND INVESTMENTS

a. Investments. Itemize and describe all investments in excess of \$5,000.00 or five percent (5%) of the value in: any business, corporation, or partnership (including those controlled by you, your spouse and dependent children); and other assets including stocks, bonds, loans, pledged collateral, and other investments (for you and/or your spouse and/or dependent children). You do not need to list checking or savings accounts, or a State of New York retirement plan.

NONE (Check if appropriate)

Name of Family Member	Name & Address of Business	Description of Investment
_____	_____	_____
_____	_____	_____

b. Real Estate. List the location of all real estate within the County or within five (5) miles of the County in which you (and/or your spouse and/or dependent children) have an interest or had an interest in the previous calendar year, regardless of its value. Do not list your primary residence.

NONE (Check if appropriate)

Name of Family Member	Location of Real Estate	Description of Investment
_____	_____	_____
_____	_____	_____

NAME: \_\_\_\_\_

**c. Trusts.** Identify each interest in a trust or estate or similar beneficial interest in any assets in excess of \$2,000.00, except for IRS eligible retirement plans or interests in an estate or trust of a Related person, as such term is defined in the County's Code of Ethics, for you (and/or your spouse and/or dependent children).

NONE (Check if appropriate)

Name of Family Member	Trustee/Executor	Description of Trust/Estate
_____	_____	_____
_____	_____	_____
_____	_____	_____

**7. OTHER INCOME.** Identify the source and nature of any other income in excess of \$1,000.00 per calendar year from any source not described above, including teaching income, lecture fees, consultant fees, contractual income, or other income of any nature, for you (and/or your spouse and/or dependent children). This should include any income for which you have received an IRS form 1099 showing income in excess of \$1,000.00 during the preceding year.

NONE (Check if appropriate)

Name of Family Member	Name & Address of Income Source	Nature of Investment
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

**8. GIFTS AND HONORARIUMS.** List the source of all gifts aggregating in excess of \$250.00 received during the last calendar year by you (and/or your spouse and/or dependent children) excluding gifts from a Related person (as defined in the Code of Ethics). The term "gifts" includes gifts of cash, property, personal items, payments to third-parties on your behalf, forgiveness of debt, honorariums, services, travel, entertainment, hospitality, thing or promise, non-employment donations to a retirement plan, discounts not available to members of the public, and any other payments or items that are not reportable as income. Gifts received from a Related person acting as an agent for a third party must be disclosed.

NAME: \_\_\_\_\_



*Gifts and Honorariums, continued*

NONE (Check if appropriate)

Name of Family Member

Name & Address of Donor

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**9. THIRD-PARTY REIMBURSEMENTS.** Identify and describe the source of any third-party reimbursement for travel-related expenditures in excess of \$250.00 for any matter that relates to your official duties. The term "reimbursement" includes any travel-related expenses provided by anyone other than the County for speaking engagements, conferences, or fact finding events that relate to your official duties. Report all reimbursement aggregating \$250.00 or more, whether paid by one or more individuals or entities.

NONE (Check if appropriate)

Source

Description

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**10. LOANS.** Describe all loans to you (and/or your spouse and/or dependent children) in excess of \$5,000.00 where the creditor is not a federally insured bank or savings and loan institution, or a Related person (as defined in the Code of Ethics).

NONE (Check if appropriate)

Name of Family Member

Name & Address of Creditor

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**11. POLITICAL PARTIES.** List any position you held within the last five (5) calendar years as an officer of any political party, political committee, or political organization. The term "political organization" includes any independent body or any organization that is affiliated with or a subsidiary of a political party.

NONE (Check if appropriate)

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NAME: \_\_\_\_\_

FOR (YEAR)

12. INTEREST IN CONTRACTS. Describe any interest you (and/or your spouse, minor children, or dependents) have in any actual or proposed contract involving the County or any town, village, or city within the County. "Interest" means a direct or indirect pecuniary or material benefit accruing to you (and/or your spouse, minor children or dependents) as the result of a contract with the County. Besides any direct contractual relationships with the County, you (and/or your spouse, minor children or dependents) shall be deemed to have an interest in a contract between the County and: (a) a firm, partnership or association of which you or such family member is a member or employee; (b) a corporation of which you or such family member is an officer, director, or employee, but shall not include a contract of employment with the County; and (c) a corporation any stock of which is owned or controlled directly or indirectly by you or such family member. The disclosure made herein is intended to fulfill the requirements of Section 803 of General Municipal Law.

NONE (Check if appropriate)

Name of Family Member	Contract Description
_____	_____
_____	_____
_____	_____

**CERTIFICATION:**

I hereby certify under penalty of perjury that the information disclosed on this form is true and complete to the best of my knowledge. A reporting individual who knowingly and willfully fails to file an annual statement of financial disclosure or who knowingly and willingly with intent to deceive makes a false statement or gives information which the individual knows to be false on this statement of financial disclosure may be subject to civil and/or criminal penalties as allowed by law.

I hereby acknowledge my continuing responsibility to disclose any conflicts of interest or potential conflicts of interest and/or recuse myself from any act or action as required by Chautauqua County's Code of Ethics. I certify that I will undertake and carry out this responsibility to the best of my ability.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

**THE DEADLINE FOR FILING IS (DATE).**

Please return the originally signed, completed form to:  
the County Executive's Office, Gerace Office Building, 3 N. Erie St., Mayville, NY 14757.

In the event of a material change to the information reported on this form,  
an amended form must be filed within thirty (30) days of such change.

**FOR OFFICE USE ONLY**

**Reason for Filing:**

**New Appointment**  
(Required to file within 30 days of taking office)

**Annual Filing**  
(Required to file by April 30<sup>th</sup> of each year)

**Making Amendment(s)**  
(Required to file within 30 days of any material change)

**CHAUTAUQUA COUNTY**  
**RESOLUTION NO. \_\_\_\_\_**



**TITLE:** Confirm Re-Appointment - Chautauqua County Ethics Board

**BY:** Administrative Services Committee:

**AT THE REQUEST OF:** County Executive Vincent W. Horrigan:

WHEREAS, Vincent W. Horrigan, County Executive, has submitted the following re-appointment for action by the Chautauqua County Legislature; therefore be it

RESOLVED, That the Chautauqua County Legislature does hereby confirm the following re-appointment to the Chautauqua County Ethics Board.

Rose Sebouhian  
6 Ventura Circle  
Fredonia, N.Y. 14063  
Term Expires: 1/31/20

\_\_\_\_\_  
**APPROVED**

\_\_\_\_\_  
**VETOES (VETO MESSAGE ATTACHED)**

\_\_\_\_\_  
**County Executive**

\_\_\_\_\_  
**Date**

**CHAUTAUQUA COUNTY**  
**RESOLUTION NO. \_\_\_\_\_**

<p style="text-align: center;"><b>SM 12/27/16</b> <b>SMA 12/27/16</b> <b>KLC 12/28/16</b> <b>VWH 12/28/16</b></p>
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**TITLE:** Close Accounts for Completed IT Capital Project as of 12/31/2016

**BY:** Administrative Services and Audit & Control Committees:

**AT THE REQUEST OF:** County Executive Vincent W. Horrigan:

WHEREAS, The IT Department has notified the Department of Finance that the capital project listed below has been completed or will be completed by December 31, 2016:

H.1680.20942 – Time & Attendance Upgrade (2014);

now therefore be it

RESOLVED, That the Director of Finance close out the account listed above for any further expenditures as of the year ending December 31, 2016 and begin capitalization as necessary; and be it further

RESOLVED, That upon completion of audit and reconciliation of account, any surplus or deficit be adjusted to the appropriate Fund or Reserve for Capital.

\_\_\_\_\_  
**APPROVED**

\_\_\_\_\_  
**VETOES (VETO MESSAGE ATTACHED)**

\_\_\_\_\_  
**County Executive**

\_\_\_\_\_  
**Date**

**CHAUTAUQUA COUNTY  
RESOLUTION NO. \_\_\_\_\_**

<b>JEC 12/30/16 SMA 1/3/17 KLC 1/4/17 SM 1/4/17 VWH 1/4/17</b>
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**TITLE:** Quit Claim Deeds

**BY:** Administrative Services and Audit & Control Committees:

**AT THE REQUEST OF:** County Executive Vincent W. Horrigan

WHEREAS, the Administrative Services Committee of the County Legislature has received and hereby recommends acceptance, pursuant to Section 1166 of the Real Property Tax Law, the following offers for the County's Tax Liens as detailed on the attached Schedule 1 under tax sale certificates noted on original papers on file in the office of the Director of Finance; and

WHEREAS, that unless otherwise noted, the County Tax Enforcement Officer has confirmed that the offers received are in compliance with the County's policy regarding tax foreclosure as set forth in Resolution No. 44-13; now therefore be it

RESOLVED, That the Executive and Chairman of this Legislature be hereby authorized to execute Quitclaim Deeds conveying to the offers herein mentioned, the interest of Chautauqua County in said properties under said tax sale certificates; and be it further

RESOLVED, That the Director of Finance of Chautauqua County be hereby authorized to cancel any outstanding taxes, fees, interest and other charges. In adopting this resolution, the Legislature intends to adopt each transaction separately, in the usual form of Resolution, and the failure of any particular transaction to be completed shall in no manner affect the validity of any of the others.

Offer Number	Munic.	S/B/L	Purchaser	Offer Amount	Taxes Owing
QC-106-2016	C/Jmstn.	060800-387.15-8-22	Father Judge Apostolic Land Co.	\$ 300.00	\$ 4,668.62
QC-107-2016	C/Jmstn.	060800-387.15-8-23	Father Judge Apostolic Land Co.	\$ 300.00	\$ 178.08
				\$ 600.00	\$ 4,846.70

\_\_\_\_\_  
**APPROVED**  
 \_\_\_\_\_  
**VETOES (VETO MESSAGE ATTACHED)**

\_\_\_\_\_  
**County Executive**

\_\_\_\_\_  
**Date**